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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/560,748	03/02/2007	Wolfram Schmid	095309.57193US	1676
CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP			EXAMINER	
			COLEMAN, KEITH A	
P.O. BOX 14300 WASHINGTON, DC 20044-4300			ART UNIT	PAPER NUMBER
			3747	
			MAIL DATE	DELIVERY MODE
			01/30/2009	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
Office Action Summers	10/560,748	SCHMID ET AL.				
Office Action Summary	Examiner	Art Unit				
	KEITH COLEMAN	3747				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 17 N	ovember 2008					
· <u> </u>	·					
<i>,</i> —	, <del></del>					
	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
closed in accordance with the practice under <i>Ex parte Quayre</i> , 1933 C.D. 11, 403 C.G. 213.						
Disposition of Claims						
4) Claim(s) 18-40 is/are pending in the application	☑ Claim(s) <u>18-40</u> is/are pending in the application.					
4a) Of the above claim(s) is/are withdraw	4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>18-40</u> is/are rejected.	·					
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/o	r election requirement.					
Application Papers						
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
	12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).					
a) ☐ All b) ☐ Some * c) ☐ None of:						
	1. Certified copies of the priority documents have been received.					
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Paper No(s)/Mail Date						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date  Paper No(s)/Mail Date  Paper No(s)/Mail Date						

Art Unit: 3747

#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 18, 19, 21, 20, 24, 25, 26, 28, 32, 33, 34, 27, 29, and 35-40 are rejected under 35 U.S.C. 102(b) as being anticipated by Evans et al. (US Patent No. 4,249,382).

With regards to claim 18, the patent to Evans et al. discloses an internal combustion engine having an exhaust gas recirculation device (i.e. valve 80 to conduit 82 to inlet 14), an exhaust gas turbocharger (i.e. compressor 22), and cylinder groups (i.e. cylinder groups denoted by 26 and 28, See Figure 3), whereby exhaust gas from each cylinder group is dischargeable separately via respective exhaust pipes (via 18 and 16), arranged to be feedable to the exhaust gas turbocharger selectively independently of each other (via turbine 20), wherein a recirculation line of the exhaust gas recirculation device branches (via conduit 84) and opens out into an induction section of the internal combustion engine (i.e. intake manifold 14) and the cylinder groups are arranged to be operated with an identical or different power output (Col. 4, Lines 8-26, See Figure 5), and the recirculation line branches off from only one of the exhaust pipes of the cylinder group that is operable with a higher power output in at least one operating point (See Figure 4).

Art Unit: 3747

With regards to claim 19, the patent to Evans et al. discloses wherein specific power of cylinders of one cylinder group differs from specific power of the cylinders of another cylinder group (i.e. inherent with the closing of the valves and different air-fuel ratios).

With regards to claims 21 and 29, the patent to Evans et al. discloses wherein an exhaust gas turbine (20, Figures 1-3) of **the** exhaust gas turbocharger is operatively arranged in the exhaust section (via manifolds 18 and 16).

With regards to claim 27, the patent to Evans et al. discloses an internal combustion engine having an exhaust gas recirculation device, an exhaust gas turbocharger, and cylinder groups (Col. 1, Lines 15-20, Figure 3), in which exhaust gas from each cylinder group is dischargeable separately via respective exhaust pipes (Figures 2 and 3) arranged to be feedable to the exhaust gas turbocharger selectively independently of each other, comprising a recirculation line of the exhaust gas recirculation device branches and opens out into an induction section of the internal combustion engine (Figure 3, via intake manifold 17 connected to compressor 9 and exhaust manifold 17 connected to turbine 8), and the cylinder groups are arranged to be selectively operated with an identical or different power output (Col. 1, Lines 24-30), wherein the cylinder groups are operable with different air/fuel ratios (Col. 1, Lines 32-36), and the recirculation line exhaust gas recirculation device branches off from one of

Art Unit: 3747

the exhaust pipes associated with the cylinder group **that is operable** with a lower air/fuel ratio in at least one operating point (Figure 3, via intake manifold 17 connected to compressor 9 and exhaust manifold 17 connected to turbine 8). It should be noted that when both valves 24 and 25 are opened or closed, the cylinder groups are operating at an identical power output. When both are not opened or closed, the cylinder groups are operating at a different power output (See Col. 1, Lines 24-30) and different air/fuel ratios (Col. 1, Lines 32-36).

With regards to claim 35, the patent to Evans et al. discloses discharging exhaust gas from each cylinder group separately via a respective exhaust pipe (Figure 2) **that is feedable to the exhaust gas turbocharger**, wherein a recirculation line of the exhaust gas recirculation device branches off from one of the exhaust pipes and opens into an induction section of the internal combustion engine (Figure 2), and selectively operating the cylinder groups with an identical or different power output, such that one of the cylinder groups, whose exhaust pipe is connected to the recirculation line is operated with a variable power output (Abstract).

With regards to claim 36, the patent to Evans et al. discloses wherein the cylinder groups are operable with different air/fuel ratios (Col. 6, Lines 8-15), and the cylinder group whose exhaust pipe is connected to the recirculation line is operable with a variable air/fuel ratio (Col. 6, Lines 8-15).

With regards to claim 37, the patent to Evans et al. discloses wherein the air/fuel ratio is reduced by increasing a fuel proportion (Col. 6, Lines 8-15). It should be noted that reducing the amount of air inherently increases the fuel proportion.

Page 5

With regards to claim 38, the patent to Evans et al. discloses wherein different ignition points are set in the cylinder groups (Col. 3, Lines 5-11, Col. 3, Lines 60-65, and Figure 5). It should be noted that ignition points are changed as the fuel rate or engine load is increased or decreased.

With regards to claim 39, the patent to Evans et al. discloses wherein different fuel injection profiles are set in the cylinder groups (Col. 3, Lines 5-11, Col. 3, Lines 60-65, Figure 5).

With regards to claim 40, the patent to Evans et al. discloses wherein an air proportion is reduced to decrease the air/fuel ratio (Col. 6, Lines 8-15).

With regards to claim 20, Evans et al. discloses cylinder groups comprising a different number of cylinders (Figure 2).

With regards to claim 24, Evans et al. discloses a variable turbine geometry arrangement for adjustably setting an active turbine inlet cross-section (Col. 4, Lines 1-5, and Figure 2).

With regards to claim 25 and to further prosecution, the patent to Evans et al. discloses a variable turbine geometry arrangement in association with a turbine inlet cross-section of each of the exhaust gas flows (Col. 4, Lines 1-5, and Figure 2).

With regards to claim 26 and to further prosecution, the patent to Evans et al. discloses a variable turbine geometry arrangement is associated with the turbine inlet cross-section of the exhaust gas flow associated with the exhaust gas recirculation device (Col. 4, Lines 1-5, and Figure 2).

With regards to claim 28, Evans et al. discloses wherein the cylinder group associated with the exhaust gas recirculation device comprises a smaller number of cylinders than another cylinder group which is independent of the exhaust gas recirculation device (Figure 2).

With regards to claim 32 and to further prosecution, Evans et al. discloses wherein the exhaust gas turbine has a variable turbine geometry arrangement for adjustably setting an active turbine inlet cross-section (Col. 4, Lines 1-5, and Figure 2).

With regards to claim 33 and to further prosecution, Evans et al. discloses wherein the variable turbine geometry arrangement is associated with the turbine inlet

Art Unit: 3747

cross-section of the exhaust gas flow associated with the exhaust gas recirculation device (Col. 4, Lines 1-5, and Figure 2).

With regards to claim 34 and to further prosecution, Evans et al. discloses wherein the variable turbine geometry arrangement is associated with the turbine inlet cross-section of the exhaust gas flow associated with the exhaust gas recirculation device (Col. 4, Lines 1-5, and Figure 2).

## Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. The factual inquiries set forth in *Graham* **v.** *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of

the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

6. Claims 22, 23, 30 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Evans et al. (US Patent No. 4,249,382) in view of Halimi et al. (US Patent No. 5,560,208).

With regards to claims 22 and 30, the patent to Evans et al. discloses all the limitations of the claimed subject matter except wherein the exhaust gas turbine is of two-flow configuration, with each exhaust gas flow of the exhaust gas turbine being operatively connected to a respective one of the exhaust pipes. The patent to Halimi et al. discloses wherein the exhaust gas turbine (22, Col. 4, Lines 60-64) is of two-flow configuration (via volutes 18 and 20, Figure 1, Col. 4, Lines 60-64), with each exhaust gas flow of the exhaust gas turbine being operatively connected to a respective one of the exhaust pipes (via exhaust manifolds 14 and 16, Figure 1, Col. 4, Lines 60-64). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the exhaust and turbine of Evans et al. with a two-flow

configuration in view of the teaching to Halimi et al., in order to enhance performance (Col. 3, Lines 20-25).

With regards to claims 23 and 31, the patent to Evans et al. further discloses wherein exhaust gas flows are of different sizes (Col. 2, Lines 35-39), a smaller of the exhaust gas flows being connected to the exhaust pipe (19) associated with the exhaust gas recirculation device (28, Col. 2, Lines 49-51, Figure 3).

### Response to Arguments

Applicant's arguments filed 11/17/2008 have been fully considered but they are not persuasive.

#### Applicant's Arguments

The Office Action states that the Evans et al system includes exhaust pipes 18 and 16 arranged to be feedable to the exhaust gas turbocharger selectively independently of each other via turbine 20. Applicants note, however, that Figs. 1 and 4 of the Evans et al patent show no connection whatsoever between exhaust manifold 18 and the turbine 20, wherein Fig. 2 shows such a connection but not an independent one given the connection of the exhaust manifolds 16 and 18 upstream of the turbine 20. Finally, Fig. 3 shows a two turbocharger arrangement with the exhaust manifolds 16 and 18 each connected independently of each other but to different turbines 20 and 54, respectively.

Art Unit: 3747

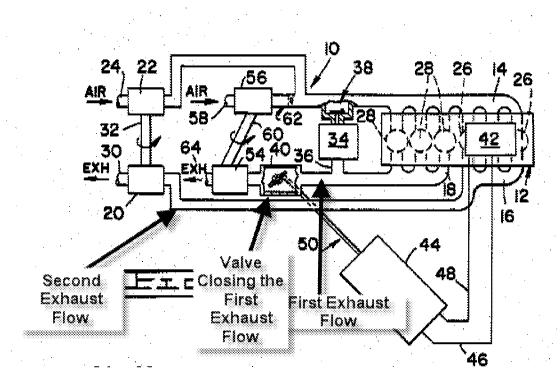
Thus, neither the subject matter of Claims 18 and 27 and the claims dependent thereupon are anticipated by or rendered obvious over the Evans et al system with or without the use of the teachings of Halimi et al, which use appears to be based on impermissible hindsight given what has been said about Evans et al's teachings. Accordingly, early and favorable action is now earnestly solicited.

#### Examiner's Response to Arguments

With regards to Applicant's first argument, Evans et al. clearly discloses exhaust manifold and the turbine 20 connected. As to Applicant's second argument, Figure 3 clearly shows exhaust pipes 18 and 16 arranged to be feedable to the exhaust gas turbocharger selectively independently of each other via turbine 20.

Application/Control Number: 10/560,748

Art Unit: 3747



Finally, Examiner provided motivation from Halimi et al. to combine with Evans et al. As such, Applicant's arguments are moot.

This action is made final.

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

Art Unit: 3747

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KEITH COLEMAN whose telephone number is (571)270-3516. The examiner can normally be reached on 5:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Cronin can be reached on (571)272-4536. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

KAC /K. C./ Examiner, Art Unit 3747

/Stephen K. Cronin/ Supervisory Patent Examiner, Art Unit 3747